



PRIVACY POLICY

Regulation S-P, Privacy of Consumer Financial Information, requires financial institutions, including Ark Advisors, LLC, to provide notice to current clients and prospective clients about their policies and practices concerning the collection and use of customer, non-public information. This privacy policy notice is given to all prospective clients of Ark Advisors, LLC upon entering into a contract with Ark Advisors, LLC and annually thereafter.

INFORMATION WE COLLECT: Ark Advisors, LLC may collect personal and financial information about you, including but not limited to:

- Personal Information: Your name, address, phone number, email address, social security number, and other identifying information.
- Financial Information: Investment history, account balances, transaction history, and other financial details.
- Other Information: Information provided by you during consultations, discussions, or through our website and related services.

COMMITMENT TO YOUR PRIVATE INFORMATION: Ark Advisors, LLC, has a policy of protecting the confidentiality and security of information we collect about our clients. We do not, and will not, share non-public personal information ("Information") about you with outside third parties without your consent, except for the specific purposes described herein. This notice has been provided to you to describe the Information we may gather and the situations under which we may need to share it.

WHY WE COLLECT AND HOW WE USE INFORMATION: We limit the collection and use of Information within our firm to only those individuals associated or employed with us that must have Information to provide financial services to you. Such services include maintaining your accounts, processing transaction requests, and providing the advisory services described in our Form ADV.

HOW WE GATHER INFORMATION: We get most information directly from you when you provide with us information from any of the following sources:

- Applications or forms (for example: name, address, social security number, birth date, assets, income, financial history)
- Transactional activity in your account (for example: trading history and account balances)
- Information services and consumer reporting sources (for example: to verify your identity or to assess your credit history)
- Other sources with your consent (for example: your insurance professional, attorney, or accountant)

HOW WE PROTECT INFORMATION: Our employees and affiliated persons are required to protect the confidentiality of Information and to comply with our stated policies. They may access Information only when there is an acceptable reason to do so, such as to service your account or provide you with financial services.

Employees who violate our Privacy Policy are subject to disciplinary action, up to and including termination from employment with us. We also maintain physical, electronic, and procedural safeguards to protect Information, which comply with applicable SEC, state, and federal laws.

SHARING INFORMATION WITH OTHER COMPANIES PERMITTED UNDER LAW. We do not disclose Information obtained in the course of our practice except as to required or permitted under law. Permitted disclosures include, for instance, providing Information to unrelated third parties who need to know such Information in order to assist us with the provision of services to you. Unrelated third parties may include broker/dealers, mutual fund companies, insurance companies, custodians, CRM providers, document management providers, consolidated reporting providers, and other vendors. In such situations, we stress the confidential nature of Information being shared.

FORMER CUSTOMERS. Even if we cease to provide you with financial products or services, our Privacy Policy will continue to apply to you and we will continue to treat your non-public information with strict confidentiality.

COOKIES AND TRACKING TECHNOLOGIES. Ark may use cookies and similar technologies to enhance your experience and gather information about visitors' interactions with our website and/or QR codes.

CHANGES TO THIS PRIVACY POLICY. We reserve the right to update this Privacy Policy at any time. We will notify you of any changes by posting the updated Privacy Policy on our website. It may be found at www.arkadvisorsllc.com/arkdisclosures.

SMS Text Opt In / Opt Out. Clients of Ark Advisors, LLC must sign an advisory contract which allows them the option to opt in or opt out of SMS text messages. By signing the advisory contract, clients consent to receive text messages from Ark Advisors, LLC at the number provided. Consent is not a condition of being a client of Ark Advisors, LLC. Message and data rates may apply. Message frequency varies. Reply STOP to opt-out or HELP for help.

You may opt out of receiving text messages from Ark Advisors, LLC at any time by replying STOP to any message. Message and data rates apply. For additional assistance, reply HELP or contact Ark Advisors at 309-661-2000.

CONTACT US. If you have any questions about this Privacy Policy or our practices, please contact us at:

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